

Directorate	Service Area	Audit Area	Audit Scope	Grading	Progress / Comments
Commercial	Workforce Management	Absence Management	To determine the effectiveness of the internal controls operating in respect of Absence Management.	Not yet determined	A review comenced in August 2023. Audit testing ongoing.
	Procurement	Contract Safeguarding	To determine the effectiveness of the internal controls operating for contracts in respect of Safeguarding.	Not yet determined	A review comenced in September 2023. Audit testing ongoing.
		Contracts - Under 75K	To determine the effectiveness of the internal controls and ensure compliance with Contract Procedure Rules for contracts under 75K.	Not yet determined	A review comenced in September 2023. Audit testing ongoing.
		Contracts - Agency	To determine the effectiveness of the internal controls operating for contracts in respect of Agency staff	Not yet determined	A review comenced in August 2023. Audit testing ongoing.
Education	Education Transformation	Control Risk Self Assessment	Internal Audit review of the Control Risk Self Assessment (CRSA) programme issued to all schools.	Not yet determined	A review commenced in April 2023. Audit testing is ongoing.
		Youth Support Grant (Annual Audit)	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Full Assurance	Audit certificate and report issued in July 2023. No weaknesses identified.
	Inclusion Services	Out of county placements education	To determine the effectiveness of the internal controls operating in respect of Out of County Education Placements.	Not yet determined	A review comenced in June 2023. Audit testing ongoing.
Resources	Financial Services	Creditors - Central Control Risk Self Assessment (CRSA)	To determine the effectiveness of the internal controls operating in with regards to the Creditors System. A Control Risk Self Assessment (CRSA) methodology has been used for this audit.	Not yet determined	Draft report issued in September 2023. A meeting has been arranged to agree an action plan.
		Pupil Development Grant	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Reasonable Assurance	Audit certificate and report issued in September 2023. 1 weakness was identified classified as Medium Risk.
		Regional Consortia School Improvement Grant	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Reasonable Assurance	Audit certificate and report issued in September 2023. 2 weaknesses were identified both classified as Medium Risk.
		Silent Valley Leasing	A review to determine the correct leasing arrangemnts have been novated to Blaenau Gwent Council	Not yet determined	Draft report issued in September 2023. A meeting has been arranged to agree an action plan.
	Petty Cash(C/fwd 2022-23)	To determine the effectiveness of the internal controls operating in respect of Petty Cash Accounts.	Not yet determined	Draft report issued June 2023. A meeting is still to be arranged to agree an action plan.	
	Revenue Services	Social Services Debtors Control Risk Self Assessment (CRSA)	To determine the effectiveness of the internal controls operating in respect of Social Services Debtors.	Not yet determined	A review comenced in May 2023. Audit testing ongoing.
Social Services	Adult Services - Development & Commissioning	Supporting People Grant (RDC Post)	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Full Assurance	Audit certificate and report issued in August 2023. No weaknesses identified.
		Supporting People Grant New Combined	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Full Assurance	Audit certificate and report issued in August 2023. No weaknesses identified.
		Domiciliary Care	To determine the effectiveness of the internal controls operating in respect of Internal Domiciliary Care.	Not yet determined	Draft report issued in July 2023. A meeting has been arranged to agree an action plan.
		Community Meals Service	To determine the effectiveness of the internal controls operating in respect of Community Meals.	Not yet determined	A review comenced in August 2023. Audit testing ongoing.
	Community Care East & West Follow up	Follow up review to determine the action taken on the weaknesses made as a result of the audit.	Not Applicable	A review commenced in August 2023 to ensure the 13 weaknesses agreed as a result of the audit had been implemented. 4 weaknesses had been fully implemented, 1 had been partly implemented, 3 were unable to test and 5 had not been implemented during the review. The weaknesses not implemented and unable to test can be seen at appendix B	
Regeneration and Community Services	Community Services - Public Protection	Homelessness - No One Left Out Grant	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Full Assurance	Audit certificate and report issued in September 2023. No weaknesses identified.
	Community Services - Public Protection	Enable Grant	Internal Audit review to ensure compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Reasonable Assurance	Audit certificate and report issued in September 2023. 1 weakness was identified classified as High Risk.
	Property	Asbestos Management	To determine the effectiveness of the internal controls operating in respect of Asbestos Management.	Not yet determined	A review comenced in July 2023. Audit testing ongoing.
	Regeneration - Thriving Communities	Shared Prosperity Fund	To determine the effectiveness of the internal controls operating in respect of the Service Level Agreement for the Shared Prosperity Fund.	Not yet determined	A review comenced in June 2023. Audit testing ongoing.
Governance and Partnerships	Partnership and Policy	Community Safety	To determine the effectiveness of the internal controls opertating in respect of Community Safety, with particular emphasis on Domestic Homicide review (DHR)	Not yet determined	A review comenced in July 2023. Audit testing ongoing.